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Approved for use through 10/31/2002 OMB 0651-0032  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(Only for new nonprovisional application under  
37 CFR 1.53(b))Attorney Docket No.:  
First Named Inventor:

1367-28

Peter Collier and Judi Collier

Title:

COMPOSITE BUILDING BLOCK HAVING MOISTURE  
BARRIER AND INSULATION ELEMENT

Express Mail Label No.:

EV 171220183 US

**APPLICATION ELEMENTS**

See MPEP chapter 6000 concerning design patent application contents

**ADDRESS TO:** Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status  
See 37 CFR 1.27
3. ☒ Specification [Total Pages 29]  
(preferred arrangement set forth below, MPEP 1503.01)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawings(s) (37 CFR 1.152) [Total Sheets 7]
5. ☒ Unsigned Oath or Declaration [Total Pages 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))
    - a. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior appl., see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on
    - i. ☐ CD-ROM or CD-R (2 copies or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

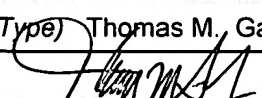
**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: ...PTO-2038 Form.....

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Galgano & Burke				
Address	300 Rabro Drive, Suite 135				
City	Hauppauge	State	New York	Zip Code	11788
Country	USA	Telephone	631-582-6161	Fax	631-582-6191
Name (Print/Type)	Thomas M. Galgano		Registration No. (Attorney/Agent)	27,638	
Signature			Date:	3/23/04	

# FEE TRANSMITTAL FOR FY 2003

Effective 01/01/200. Patent fees are subject to annual revision

☒ Applicant claims small entity status.  
See 37 CFR1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 1062.00

Application Number:  
Filing Date:  
First Named Inventor:  
Examiner Name:  
Group Art Unit:  
Attorney Docket No.:

Peter Collier and Judi Collier

1367-28

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:  
Deposit Account Number: 07-0130  
Deposit Account Name: Galgano & Burke

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below  
☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application except for issue fee  
☐ Charge fee(s) indicated below, except for filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	\$ 385.00
1002	2002	340	170	Design filing fee	
1003	2003	530	265	Plant filing fee	
1004	2004	770	385	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	

**SUBTOTAL (1) (\$)** 385.00

### 2. EXTRA CLAIMS FEES FOR UTILITY & REISSUE

Extra Claims Fee from Fee Paid  
Total Claims 57 - 20\*\* = 37 x 9.00 = \$333.00  
Independent Claims 11 - 3\*\* = 8 x 43.00 = \$344.00

Multiple Dependent \_\_\_\_\_ = \_\_\_\_\_

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1202	2202	18	9	Claims in excess of 20	
1201	2201	86	43	Independent claims in excess of 3	
1203	2203	290	145	Multiple dependent claim, if not paid	
1204	2204	86	43	**Reissue independent claims over original patent	
1205	2205	18	9	**Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$)** 677.00

\*\*or number previously paid, if greater;  
For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130	Non-English specification	
1805	1812	2520	2520	For filing a request for ex parte reexamination	
1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action	
1805	1805	1840*	1840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	
1252	2252	420	210	Extension for reply within second month	
1253	2253	950	475	Extension for reply within third month	
1254	2254	1480	740	Extension for reply within fourth month	
1255	2255	2010	1005	Extension for reply within fifth month	
1401	2401	330	165	Notice of Appeal	
1402	2402	330	165	Filing a brief in support of an appeal	
1403	2403	290	145	Request for oral hearing	
1451	1451	1510	1510	Petition to institute a public use proceeding	
1452	2452	110	55	Petition to revive - unavoidable	
1453	2453	1330	655	Petition to revive - unintentional	
1501	2501	1330	655	Utility issue fee (or reissue)	
1502	2502	480	240	Design issue fee	
1503	2503	640	320	Plant issue fee	
1460	1460	130	130	Petitions to the Commissioner	
1807	1807	50	50	Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180	Submission of Information Disclosure Stmt	
8021	8021	40	40	Recording each patent assignment per property (times number of properties)	
1809	2809	770	385	Filing a submission after final rejection 37 CFR §1.129(a)	
1810	2810	770	385	For each additional invention to be examined 37 CFR §1.129(b)	
1801	2801	770	385	Request for Continued Examination (RCE)	
1802	1802	900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) (\$)** \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY

COMPLETE (if applicable)

Name (Print/Type) Thomas M. Galgano

Registration No. 27,638

Telephone: 631-582-6161

Signature

Date March 23, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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